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Application for the dispensation of all or any of the consultation requirements provided for by section 20 of the Landlord and Tenant Act 1985

Section 20ZA of the Landlord and Tenant Act 1985

It is important that you read the notes below carefully before you complete this form.

This is the correct form to use if you want to ask the Tribunal to dispense with all or any of the consultation requirements set out in section 20 of the Landlord and Tenant Act 1985 and in the Service Charges (Consultation Requirements)(England) Regulations 2003.

A fee is payable for this application (see section 13 for Help with Fees).

Applications should be sent as a Microsoft Word document by **email** to the relevant regional tribunal address shown in the Annex to this form. You must also send by email **the documents listed in section 13 of this form**. If you cannot access email or find someone to assist you in lodging your application by email, then a paper application will be acceptable although there may be a delay in dealing with this. Sending an application on paper will not be suitable in urgent cases.

You can now pay the the fee (if applicable) by an on-line banking payment or by cheque/postal order enclosed with the application form.

If you want to be sent online banking payment details by email, please tick this box

Please make sure a copy of the application is served on the other party/parties to the application. If you are unable to serve a copy on the other party/parties, please bring this to the tribunal's attention in the covering email or if sending by post in a covering letter.

Please do not send any other documents. When further evidence is needed, you will be asked to send it in separately.

If you have any questions about how to fill in this form, the fee payable, or the procedures the Tribunal will use please contact the appropriate regional office.

If you are completing this form by hand please use BLOCK CAPITAL LETTERS.

1.	DETAILS (OF APPLICANT(S) (if there a	re multiple a	applicants please co	ntinue on	a separate sheet)		
	Name:	London Borough of Hounslo	W					
	Capacity	Landlord						
		Address (<i>including postcode</i>): London Borough of Hounslow, Hounslow House, 7 Bath Road, Hounslow TW3 3EB						
	Address for correspondence (<i>if different from above</i>): As above							
	Telephone				-			
	Day:	0208 583 3787	Evening:		Mobile	:		
	Email address:	leaseholdservices@hounslo	ow.gov.uk		Fax	с		
		ative name and address, and rrespondence and communication g for you.						
	Name:	Hayley Cooper						
	Reference no. (if any) Address (<i>including postcode</i>):							
	London Borough of Hounslow, Hounslow House, 7 Bath Road, Hounslow TW3 3EB							
	Telephone:							
	Day: 0208 583 3787 Mobile:							
	Email address:	leaseholdservices@hounsk	w.gov.uk		Fax:			
2.	ADDRESS	(including postcode) of SUB	JECT PRO	PERTY (if not alrea	dy given)			
	r							
		Il Council Leaseholders in the London Brough of Hounslow						

3.	DETAILS OF RESPONDENT (S) the person against whom an applicant seeks determination from the tribunal – this will only be the landlord's managing agent if they are a party to the lease. If there are multiple respondents, please continue on a separate sheet.							
	Name:	Ame: Full list of names and addresses are attached to this application						
	Capacity	Capacity Leaseholders						
	Address (ir	Address (including postcode):						
	Full list of addresses are attached to this application							
	Reference	e no. for correspondence (if any)						
	Address fo	r correspondence (<i>if different from above</i>):						
	Telephone							
	Day:	Evening: Mobile:						
	Email address:	Fax:						
	Note: If this is an application by a landlord, then usually all tenants liable to pay a service charge for the costs in question should be joined as respondents. If tenants are not joined in this way, the landlord should provide the Tribunal with a list of the names and addresses of service charge payers. If this is not possible or is impractical, then a written explanation must be provided with this application.							
	If you are the landlord/management company making the application please omit, if known, the telephone/fax numbers and email address of the respondent(s) when completing Box 4 and include them on a separate sheet. This is because the application form may be copied by the tribunal to other appropriate persons (e.g. other service charge paying leaseholders in the building or development).							
4. 6	BRIEF DESCRIPTION OF BUILDING (e.g.2 bedroom flat in purpose built block of 12 flats)							
	Full range of properties from flats in street properties, low and high rise blocks both purpose built and converted.							

5. DETAILS OF	LANDLORD (if not already given)		
Name:			
Address (in	cluding postcode):		
Reference	no. for correspondence (if any)		
Telephone:			
Day:	Evening:	Mobile:	
Email address:		Fax:	
6. DETAILS OF	ANY RECOGNISED TENANTS' ASSOCIATION (if know	'n)	
Name of Secretary	details of recognised Residents Associations are attached	d to this ap	pplication
Address (in	cluding postcode):		
Telephones			
Telephone: <i>Day:</i>	Evening:	Mobile:	
Email		Fax:	

DISPENSATION SOUGHT		
Applicants may seek a dispensation of all or any of the consultation requirements in respect of either qualifying works or long-term agreements.		
Does the application concern qualifying works?	🗌 Yes	🛛 No
If Yes, have the works started/been carried out?	🗌 Yes	🗌 No
Does the application concern a qualifying long-term agreement?	🛛 Yes	🗌 No
If Yes, has the agreement already been entered into?	🗌 Yes	🛛 No
For each set of qualifying works and/or qualifying long-term agreements please complete one of the sheets of paper entitled 'GROUNDS FOR SEEKING DISPEN	ISATION'	

address:

8. OTHER APPLICATIONS

Do you know of any other cases involving either: (a) related or similar issues
about the management of this property; or (b) the same landlord or tenant or
property as in this application?

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If Yes, please give details

The Council received dispensation from the tribunal in respect of the previous two contracts with LASER in 2016 (case reference LON/00AT/LDC/2015/0121) and 2021 (case reference LON/00AT/LDC/2020/0243). We are seeking dispensation on the same grounds.

9. CAN WE DEAL WITH YOUR APPLICATION WITHOUT A HEARING?

If the Tribunal thinks it is appropriate, and all the parties and others notified of their right to attend a hearing consent, it is possible for your application to be dealt with entirely on the basis of written representations and documents and without the need for parties to attend and make oral representations. ('A paper determination').

Please let us know if you would be content with a paper determination if the	Э
Tribunal thinks it appropriate.	

\square	Yes	No
	.00	110

☐ Yes

No No

Note: Even if you have asked for a paper determination the Tribunal may decide that a hearing is necessary. Please complete the remainder of this form on the assumption that a hearing will be held. Where there is to be a hearing, a fee of £200 will become payable by you when you receive notice of the hearing date.

10. TRACK PREFERENCES

We need to decide whether to deal with the case on the Fast Track or the	🛛 Fast Track
Standard Track (see Guidance Note for an explanation of what a track is). Please let us know which track you think appropriate for this case.	Standard Track

Is there any special reason for urgency in this case?

If Yes, please explain how urgent it is and why:

Note

The Tribunal will normally deal with a case in one of three ways: on paper (see section 10 above) or 'fast track' or 'standard track'. The fast track is designed for cases that need a hearing but are very simple and will not generate a great deal of paperwork or argument. A fast track case will usually be heard within 10 weeks of your application. You should indicate here if you think your case is very simple and can be easily dealt with. The standard track is designed for more complicated cases where there may be numerous issues to be decided or where for example, a lot of documentation is involved. A standard track case may involve the parties being invited to a Case Management Conference which is a meeting at which the steps that need to be taken to bring the case to a final hearing can be discussed.

11. AVAILABILITY

If there are any dates or days we must avoid during the next four months (either for your convenience or the convenience of any expert you may wish to call) please list them here.

Please list the dates on which you will NOT be available:

24th December 2023 to 11th January 2024, 14th - 16th February 2024

12. VENUE REQUIREMENTS

Please provide details of any special requirements you or anyone who will be coming with you may have (e.g. the use of a wheelchair and/or the presence of a translator):

Applications handled by the London regional office are usually heard in Alfred Place, which is fully wheelchair accessible. Elsewhere, hearings are held in local venues which are not all so accessible and the case officers will find it useful to know if you or anyone you want to come to the hearing with you has any special requirements of this kind.

13. CHECKLIST

Please check that you have completed this form fully. The Tribunal will not process your application until this has been done. Please ensure that the following are enclosed with your application and tick the appropriate box to confirm:

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A copy of the lease(s).

A statement that service charge payers have been named as respondents or a list of names and addressess of service charge payers

EITHER

A crossed cheque or postal order made out to HM Courts and Tribunal Service for the application fee of £100 (if applicable) is enclosed. Please write your name and address on the back of the cheque or postal order. Please also send a paper copy of your application with your cheque or postal order, regardless of whether you have already emailed the application.

OR

You have ticked the box at the top of this form to say you want the relevant regional tribunal office to send you details on how to pay the application fee of £100 by on-line banking. The unique payment reference the tribunal office supplies MUST be used when making your on-line banking payment.

DO NOT send cash under any circumstances. Cash payment will not be accepted.

Please note where there is to be a hearing, a fee of £200 will become payable by you when you receive notice of the hearing date.

Help with Fees

If you think you may be entitled to a reduced fee, the guide EX160A 'Apply for help with court, tribunal and probate fees' outlines how you can submit an application for Help with Fees.

You can submit your Help with Fees application online at <u>www.gov.uk/help-with-court-fees</u> or by completing the form EX160 'Apply for help with fees'. You can get a copy of the 'Apply for help with fees' form online at www.gov.uk/government/publications/apply-for-help-with-court-and-tribunal-fees or from your regional tribunal office.

If you have completed an online application for Help with Fees please enter the reference number you have been given here.

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If you have completed form EX160 "Apply for Help with Fees" it must be included with your application.

The 'Apply for help with fees' form will not be copied to other parties

14. STATEMENT OF TRUTH

The statement of truth must be signed and dated. I believe that the facts stated in this application are true.

Signed:	Hayley Cooper	Dated:	24/11/23
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GROUNDS FOR SEEKING DISPENSATION

Please use the space below to provide information mentioned in section 7 of this form.

You will be given an opportunity later to give further details of your case and to supply the Tribunal with any documents that support it. At this stage you should give a clear outline of your case so that the Tribunal understands what your application is about. Please continue on a separate sheet if necessary.

1. Describe the qualifying works or qualifying long-term agreement concerned, stating when the works were carried out or planned to be carried out or in the case of a long-term agreement, the date that agreement was entered into or the proposed date it is to be entered into.

The Council provides communal electricity through landlords lighting in blocks, estate lighting etc which is recharged through the annual service charge. The Council also provides communal gas through the district heating and communal heating networks in some blocks where district/communal heating is provided which is recharged through the annual service charge.

The Council has had a long-standing arrangement with LASER (Local Authority Energy Buying Group) who purchase energy on the Council's behalf under a framework agreement. LASER currently manages the energy procurement for 160 local authorities with a total annual spend of £500 million. The Council has participated in this flexible energy procurement arrangement for several years under a Framework contract with LASER (a Public Sector Buying Organisation). The current contract is now due for renewal and it is proposed that a similar arrangement should continue.

The Council uses insufficient energy to effectively access wholesale markets individually and therefore needs to be part of a consortium for the purchase of utilities. Best practice recommends that the public sector aggregate their buying power through consortia in a risk managed approach to minimise exposure to unfavourable pricing, and that this is what the Council has done.

LASER provides the aggregated, flexible and risk-managed approach route to procurement in line with the Government's guidelines for energy-buying by local authorities. In effect LASER acts as a buying club whereby Hounslow joins together with other authorities with optimum prices achieved by pooling their energy requirements. This application proposes the Council's continued participation with LASER over the next contractual period running from 1st April 2025 to 31st March 2029. The contractual arrangements would cover the supply of gas and electricity to the Council's housing, operational buildings, and street lighting (effectively a corporate Council utility procurement) – which has consistently achieved significant savings for the Council over previous contractual periods.

Kent County Council (KCC) – through LASER - manages a consortium of 160 local authorities and aggregates members' energy volumes to deliver better value contracts for councils. KCC operate a 4-year framework agreement with energy suppliers that enables them to continuously purchase energy

through the wholesale energy market at very competitive rates.

Going through the wholesale market is in line with Best Practice as recommended by the OGC Energy Strategy Team (Treasury) advice. This wholesale flexible procurement framework contract/s enables LASER to buy energy up to four years before it is used. This forward buying means that LASER can secure prices for the future whenever the market looks favourable. Gas and electricity is bought in 'clips', energy prices are fixed for 12 months from each April and the prices are based on the average price from all the clips bought in the previous years. The arrangement removes the risk of settling a LASER Member's requirement on a single day. Aggregating the volumes of members within the arrangement enables effective market hedging.

Buying energy in this way can 'smooth' the market so that the prices paid don't swing so far from year to year. The smoothing effect does mean that at times the market may fall below the prices achieved by LASER, but taking a longer view flexible procurement has delivered prices around 4% below the market average.

To procure the Councils Energy Team have commissioned (along with another 8 local authorities) consultancy support from Cornwall Insight to carry out an in depth study into procurement of energy, to assess the current energy purchasing approach 2020-2024 with the purpose to provide insight into the market landscape, trends, providers, and services offered by Third Party Intermediaries (TPIs) - also called brokers and Public Buying Organisations (PBOs) - operating in the energy market of Great Britain.

Working collaboratively with other local authorities enables a combination of annual spending power, so authorities get more for less from the supplier dominated utilities market. This is a stable and long-term approach to intelligently navigate rapid change in the authority business environment and corresponding volatility in the commercial utilities market – both of which signal unwelcome cost pressure and unprecedented demands on authority management. Other CPBs offering energy supply procurement options which are available for the Council to access have been evaluated and none offer any advantages over the LASER offer. See App1. Cornwall Insight Energy Procurement Report and Recommendations.

Given the predicted savings and the flexible procurement strategy, it is recommended that the Council continue its arrangement with LASER and participate in the framework contract for the 4 year period from 1st April 2025 to 31st March 2029. This commission is effectively a renewal of an existing contract and provides and supports the Council in managing billing, a resource the Council does not have internally.

LASER benchmarks its purchasing performance each year and shares this information with its customers. This benchmarking exercise indicates that LASER has continued to deliver prices to customers at a significant percentage below the market average over the last 4 years. See App 2. Independent Benchmarking Report.

The Council has approximately 3200 leasehold properties in its stock. This procurement contract constitutes a Qualifying Long-Term Agreement (under Commonhold Leasehold Reform Act 2002) because the fee that is paid to LASER for its service and fuel costs (resulting from the contract) are service chargeable to leaseholders. This application is necessary to comply with the Service Charges (Consultation Requirements) (England) Regulations 2003, for the proposed contract for 2025 – 2029.

2. Describe the consultation that has been carried out or is proposed to be carried out.

No consultation has been undertaken regarding this contract. The Council would normally carry out full statutory consultation following the Commonhold and Leasehold Reform Act 2002 (section 20 as amended) in any cases where the annual cost of the service could exceed £100 per annum for any one leaseholder.

Although this is less likely for the cost of communal electricity, it could be relevant for the cost of communal gas (via the provision of domestic hot water and heating) in this contract.

The Council is unable to comply with the full requirements to consult on the LASER proposals in view

of a new contract coming into place on 1st April 2025 and therefore applied for dispensation from the First Tier Tribunal. Similar to the consultation undertaken by the Council in 2015 and 2020, if this dispensation is granted, we will circulate a copy of that decision. The Council is and has always been committed to keeping costs low for its leaseholders and is using the LASER contract with the best interests of tenants and leaseholders at heart. Leaseholders have not been prejudiced by the Council using the LASER contracts'

3. Explain why you seek dispensation of all or any of the consultation requirements.

The current contract expires 31st March 2025. See App 3. CEX435 Energy Purchasing Cabinet Report. The Laser Framework provided all LA's (public organisations) the option to extend by 6 months - to align commissions with LA's financial year cycles.

LASER requires an early commitment from authorities wishing to participate in the new contract due to its nature which involves energy purchasing on the wholesale market 12 months or more prior to the contract start date 1st April 2025. LASER cannot commence forward purchase on behalf of Council sites until a commitment to join the framework contract has been received. Apart from savings, LASER had also been recommended because of the fully managed option (includes consolidated billing, one of many associated aspects). This is an invaluable service to London Borough of Hounslow as is the case across most London boroughs, not many PBO's offer this.

Government policy recommends that to spread market risk, and avoid buying during periods of peak market pricing, "all public sector organisations adopt aggregated, flexible and risk-managed energy procurement" as provided under the LASER framework. LASER also offers full flexibility to secure energy in line with the Council's policy to reduce its carbon footprint. LASER's current flexible frameworks have performed extremely well against market averages.

Given the need to commit to LASER immediately for the proposed contract, the Council is seeking the dispensation from the Tribunal for all schedules of the section 20 consultation requirements.

The Council is unable to fully comply with the process for appointing a PBO, provide the leaseholders with a statement as to the actual or estimated unit cost or hourly or daily rate of charge for the electricity and gas supply or an estimate of the extent of each of the leaseholder's contributions or of the total expenditure.

As the Council is unable to comply with Schedule 2 Paragraphs 4(4), 4(5), 4(6) and 4(7) of the Service Charge (Consultation Requirements (England) Regulations 2003; it therefore seeks dispensation under section 20ZA Landlord and Tenant Act 1985 from Schedules 1, 2, 3 of The Service Charges (consultation requirements) (England) Regulations 2003 for the proposed contract (to run from 2025 - 2029)

ANNEX: Addresses of Tribunal Regional Offices

NORTHERN REGION

HM Courts & Tribunals Service First-tier Tribunal (Property Chamber) Residential Property, 1st Floor, Piccadilly Exchange, Piccadilly Plaza, Manchester M1 4AH Telephone: 01612 379491 Fax: 01264 785 128 Email address: <u>RPNorthern@justice.gov.uk</u>

This office covers the following Metropolitan districts: Barnsley, Bolton, Bradford, Bury, Calderdale, Doncaster, Gateshead, Kirklees, Knowsley, Leeds, Liverpool, Manchester, Newcastle-upon-Tyne, Oldham, Rochdale, Rotherham, St. Helens, Salford, Sefton, Sheffield, Stockport, Sunderland, Tameside, Trafford, Tyneside (North & South), Wakefield, Wigan and Wirral.

It also covers the following unitary authorities: Hartlepool, Middlesbrough, Redcar and Cleveland, Darlington, Halton, Blackburn with Darwen, Blackpool, Kingston-upon-Hull, East Riding of Yorkshire, Northeast Lincolnshire, North Lincolnshire, Stockton-on-Tees, Warrington and York.

It also covers the following Counties: Cumbria, Durham, East Cheshire, Lancashire, Lincolnshire, Northumberland, North Yorkshire and West Cheshire.

MIDLAND REGION

HM Courts & Tribunals Service First-tier Tribunal (Property Chamber) Residential Property, Centre City Tower, 5-7 Hill Street, Birmingham, B5 4UU Telephone: 0121 600 7888 Fax: 01264 785 122 Email address: <u>RPMidland@justice.gov.uk</u>

This office covers the following Metropolitan districts: Birmingham, Coventry, Dudley, Sandwell, Solihull, Walsall and Wolverhampton.

It also covers the following unitary authorities: Derby, Leicester, Rutland, Nottingham, Herefordshire, Telford and Wrekin and Stoke-on-Trent.

It also covers the following Counties: Derbyshire, Leicestershire, Nottinghamshire, Shropshire, Staffordshire, Warwickshire and Worcestershire.

EASTERN REGION

HM Courts & Tribunals Service First-tier Tribunal (Property Chamber) Residential Property, Cambridge County Court, 197 East Road **Telephone:** 01223 841 524 **Fax:** 01264 785 129

DX 97650 Cambridge 3

This office covers the following unitary authorities: Bracknell Forest, West Berkshire, Reading, Slough, Windsor and Maidenhead, Wokingham, Luton, Peterborough, Milton Keynes, Southend-on-Sea and Thurrock.

It also covers the following Counties: Bedfordshire, Berkshire, Buckinghamshire, Cambridgeshire, Essex, Hertfordshire, Norfolk, Northamptonshire, Oxfordshire and Suffolk.

SOUTHERN REGION

HM Courts & Tribunals Service First-tier Tribunal (Property Chamber) Residential Property, Havant Justice Centre, The Court House, Elmleigh Road, Havant, Hants, PO9 2AL Telephone: 01243 779 394 Fax: 0870 7395 900 Email address: <u>RPSouthern@justice.gov.uk</u>

This office covers the following unitary authorities: Bath and Northeast Somerset, Bristol, North Somerset, South Gloucestershire, Bournemouth, Plymouth, Torbay, Poole, Swindon, Medway, Brighton and Hove, Portsmouth, Southampton and the Isle of Wight.

It also covers the following Counties: Cornwall and the Isles of Scilly, Devon, Dorset, East Sussex, Gloucestershire, Hampshire, Kent, Somerset, Surrey, West Sussex and Wiltshire.

LONDON REGION

HM Courts & Tribunals Service First-tier Tribunal (Property Chamber) Residential Property, 10 Alfred Place, London WC1E 7LR **Telephone:** 020 7446 7700 **Fax:** 01264 785 060

Email address: London.RAP@justice.gov.uk

DX 134205 Tottenham Court Road 2

This office covers all the London boroughs.

The Ministry of Justice and HM Courts and Tribunals Service processes personal information about you in the context of tribunal proceedings.

For details of the standards we follow when processing your data, please visit the following address <u>https://www.gov.uk/government/organisations/hm-courts-and-tribunals-service/about/personal-information-charter</u>

To receive a paper copy of this privacy notice, please call 0300 123 1024/ Textphone 18001 0300 123 1024.