# MEMBERS' CODE OF CONDUCT

# Contents

Introduction	2
Definitions	2
General principles of councillor conduct	2
Application of the Code	3
Standards of councillor Conduct	4
General Conduct	4
1. Respect	4
2. Bullying, harassment and discrimination	4
3. Impartiality and integrity of officers of the Council	5
4. Confidentiality and access to information	6
5. Disrepute	6
6. Use of position	7
7. Use of local authority resources and facilities	7
8. Complying with the Code of Conduct	8
9. Interests	8
10. Gifts and hospitality	9
Appendix A – The Seven Principles of Public Life	10
Appendix B – Registering Interests	11
Table 1 – Disclosable Pecuniary Interests	
Table 2 – Other Registerable Interests	16

# Introduction

All councils are required to have a local Councillor Code of Conduct.

The Monitoring Officer will undertake an annual review of this Code to ensure it continues to be fit for purpose, incorporating advances in technology, social media and changes in legislation.

The Monitoring Officer can also offer advice and support to councillors on the application of the Code.

# **Definitions**

For the purposes of this Code of Conduct, a "councillor" means a member or co-opted member of a local authority. A "co-opted member" is defined in section 27(4) of the Localism Act 2011 as "a person who is not a member of the authority but who

- a) is a member of any committee or sub-committee of the authority, or;
- b) is a member of, and represents the authority on, any joint committee or joint subcommittee of the authority;

and who is entitled to vote on any question that falls to be decided at any meeting of that committee or sub- committee".

# General principles of councillor conduct

Everyone in public office at all levels; all who serve the public or deliver public services, including ministers, civil servants, Councillors and local authority Officers; should uphold the <u>Seven Principles of Public Life</u>, also known as the Nolan Principles.

Building on these principles, the following general principles have been developed specifically for the role of councillor.

In accordance with the public trust placed in them, councillors should, on all occasions:

- (a) act with integrity and honesty;
- (b) act lawfully;
- (c) treat all persons fairly and with respect; and
- (d) lead by example and act in a way that secures public confidence in the role of councillor.

Councillors should undertake their role:

- (a) impartially exercising their responsibilities in the interests of the local community;
- (b) not improperly seeking to confer an advantage, or disadvantage, on any person;
- (c) avoiding conflicts of interest;
- (d) exercising reasonable care and diligence; and
- (e) ensuring that public resources are used prudently in accordance with their local authority's requirements and in the public interest.

# **Application of the Code of Conduct**

This Code of Conduct applies to councillors as soon as they sign their declaration of acceptance of the office of councillor or attend their first meeting as a co-opted member and continues to apply to them until they cease to be a councillor.

This Code of Conduct applies to councillors when they are acting in their capacity as a councillor which may include when:

- A councillor misuses their position
- Their actions would give the impression to a reasonable member of the public with knowledge of all the facts that they are acting as a councillor.

The Code applies to all forms of communication and interaction, including:

- (a) at face-to-face meetings
- (b) at online or telephone meetings
- (c) in written communication
- (d) in verbal communication
- (e) in non-verbal communication
- (f) in electronic and social media communication, posts, statements and comments.

Councillors are also required to uphold high standards of conduct and show community leadership at all times when acting as a councillor.

The Monitoring Officer has statutory responsibility for the implementation of the Code of Conduct, and councillors are encouraged to seek advice from the Monitoring Officer on any matters that may relate to the Code of Conduct.

#### Standards of Councillor conduct

This section sets out councillors' obligations, which are the minimum standards of conduct required of councillors. Should their conduct fall short of these standards, a complaint may be made against any councillor, which may result in action being taken.

Guidance is included to help explain the reasons for the obligations and how they should be followed.

#### **General Conduct**

The general conduct guidance follows below:

# 1. Respect

# **Councillors should:**

- 1.1 treat other councillors and members of the public with respect.
- 1.2 treat local authority employees, employees and representatives of partner organisations and those volunteering for the local authority with respect and respect the role they play.

Respect means politeness and courtesy in behaviour, speech, and in written word. Debate and having different views are all part of a healthy democracy. Councillors can express, challenge, criticise and disagree with views, ideas, opinions and policies in a robust but civil manner. Councillors should not, however, subject individuals, groups of people or organisations to personal attack.

In their contact with the public, councillors should treat them politely and courteously. Rude and offensive behaviour lowers the public's expectations and confidence in councillors.

In return, councillors have a right to expect respectful behaviour from the public. If members of the public are being abusive, intimidating or threatening councillors are entitled to stop any conversation or interaction in person or online and report them to the local authority, the relevant social media provider or the police. This also applies to fellow councillors, where action could then be taken under the Councillor Code of Conduct, and local authority employees, where concerns should be raised in line with the Council's Protocol on Councillor/Officer Relations.

# 2. Bullying, harassment and discrimination

#### Councillors should:

- 2.1 not bully any person.
- 2.2 not harass any person.
- 2.3 promote equalities and do not discriminate unlawfully against any person.

The Advisory, Conciliation and Arbitration Service (ACAS) characterises bullying as offensive, intimidating, malicious or insulting behaviour, an abuse or misuse of power through means that undermine, humiliate, denigrate or injure the recipient. Bullying might be a regular pattern of behaviour or a one-off incident, happen face-to-face, on social media, in emails or phone calls, happen in the workplace or at work social events and may not always be obvious or noticed by others.

The Protection from Harassment Act 1997 defines harassment as conduct that causes alarm or distress or puts people in fear of violence and must involve such conduct on at least two occasions. It can include repeated attempts to impose unwanted communications and contact upon a person in a manner that could be expected to cause distress or fear in any reasonable person.

Unlawful discrimination is where someone is treated unfairly because of a protected characteristic. Protected characteristics are specific aspects of a person's identity defined by the Equality Act 2010. They are age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

The Equality Act 2010 places specific duties on local authorities. Councillors have a central role to play in ensuring that equality issues are integral to the local authority's performance and strategic aims, and that there is a strong vision and public commitment to equality across public services.

# 3. Impartiality and integrity of officers of the council

#### Councillors should:

3.1 not compromise, or attempt to compromise, the impartiality of anyone who works for, or on behalf of, the local authority.

Officers work for the local authority as a whole and must be politically neutral (unless they are political assistants). They should not be coerced or persuaded to act in a way that would undermine their neutrality. Councillors can question officers in order to understand, for example, their reasons for proposing to act in a particular way, or the content of a report that they have written. However, councillors must not try and force them to act differently, change their advice, or alter the content of that report, if doing so would prejudice their professional integrity.

# 4. Confidentiality and access to information

#### Councillors should:

- 4.1 not disclose information:
  - a. given to them in confidence by anyone
  - b. acquired by them which they believe, or ought reasonably to be aware, is of a confidential nature, unless
    - i. they have received the consent of a person authorised to give it;
    - ii. they are required by law to do so;
    - iii. the disclosure is made to a third party for the purpose of obtaining professional legal advice provided that the third party agrees not to disclose the information to any other person; or
    - iv. the disclosure is:
      - reasonable and in the public interest; and
      - made in good faith and in compliance with the reasonable requirements of the local authority; and
      - they have consulted the Monitoring Officer prior to its release.
- 4.2 not improperly use knowledge gained solely as a result of their role as a councillor for the advancement of themselves, their friends, their family members, their employer or their business interests.
- 4.3 not prevent anyone from getting information that they are entitled to by law.

Local authorities must work openly and transparently, and their proceedings and printed materials are open to the public, except in certain legally defined circumstances. Councillors should work on this basis, but there will be times when it is required by law that discussions, documents and other information relating to or held by the local authority must be treated in a confidential manner. Examples include personal data relating to individuals or information relating to ongoing negotiations.

#### 5. Disrepute

#### **Councillors should:**

5.1 not bring their role or local authority into disrepute.

Councillors are trusted to make decisions on behalf of their community and their actions and behaviour are subject to greater scrutiny than that of ordinary members of the public. Councillors should be aware that their actions might have an adverse impact on themselves, other councillors and/or their local authority and may lower the public's confidence in their or their local authority's ability to discharge their/its functions. For example, behaviour that is considered dishonest and/or deceitful can bring their local authority into disrepute.

Councillors are able to hold the local authority and fellow councillors to account and are able to constructively challenge and express concern about decisions and processes undertaken by the council whilst continuing to adhere to other aspects of this Code of Conduct.

# 6. Use of position

#### Councillors should:

6.1 not use, or attempt to use, their position improperly to the advantage or disadvantage of themselves or anyone else.

A councillor's position as a member of the local authority provides them with certain opportunities, responsibilities and privileges, and they make choices all the time that will impact others. However, they should not take advantage of these opportunities to further their own or others' private interests or to disadvantage anyone unfairly.

#### 7. Use of local authority resources and facilities

#### Councillors should:

- 7.1 not misuse council resources.
- 7.2 when using the resources of the local or authorising their use by others:
  - (a) act in accordance with the local authority's requirements; and
  - (b) ensure that such resources are not used for political purposes unless that use could reasonably be regarded as likely to facilitate, or be conducive to, the discharge of the functions of the local authority or of the office to which they have been elected or appointed.

Councillors may be provided with resources and facilities by the local authority to assist them in carrying out their duties as a councillor.

#### Examples include:

office support

- stationery
- equipment such as phones and computers
- transport
- access and use of local authority buildings and rooms.

These are given to councillors to help them carry out their role as a councillor more effectively and not to be used for business or personal gain. They should be used in accordance with the purpose for which they have been provided and the Council's policies regarding their use.

## 8. Complying with the Code of Conduct

#### Councillors should:

- 8.1 undertake Code of Conduct training provided by their local authority.
- 8.2 cooperate with any Code of Conduct investigation and/or determination.
- 8.3 not intimidate or attempt to intimidate any person who is likely to be involved with the administration of any investigation or proceedings.
- 8.4 comply with any sanction imposed on them following a finding that they have breached the Code of Conduct.

It is extremely important for councillors to demonstrate high standards, to have their actions open to scrutiny and not to undermine public trust in the local authority or its governance. If councillors do not understand or are concerned about the local authority's processes in handling a complaint, they should raise this with the Monitoring Officer.

# Protecting the reputation of councillors and the reputation of the council

#### 9. Interests

#### **Councillors should:**

9.1 register and declare their interests.

Section 29 of the Localism Act 2011 requires the Monitoring Officer to establish and maintain a register of interests of members of the authority.

Councillors need to register their interests so that the public, local authority employees and fellow councillors know which of their interests might give rise to a conflict of interest. The register is a public document that can be consulted when (or before) an issue arises. The register also protects councillors by allowing them to demonstrate openness and a willingness to be held accountable. Councillors are personally responsible for deciding whether or not they should declare an interest in

a meeting, but it can be helpful for them to know early on if others think that a potential conflict might arise. It is also important that the public know about any interest that might have to be disclosed by a councillor when making or taking part in decisions, so that decision making is seen by the public as open and honest. This helps to ensure that public confidence in the integrity of local governance is maintained.

Councillors should note that failure to register or declare a disclosable pecuniary interest as set out in **Table 1** is a criminal offence under the Localism Act 2011.

**Appendix B** sets out the detailed provisions on registering and disclosing interests. If in doubt, councillors should always seek advice from the Monitoring Officer.

### 10. Gifts and hospitality

#### Councillors should:

- 10.1 not accept gifts or hospitality, irrespective of estimated value, which could give rise to real or substantive personal gain or a reasonable suspicion of influence on their part to show favour from persons seeking to acquire, develop or do business with the local authority or from persons who may apply to the local authority for any permission, licence or other significant advantage.
- 10.2 register with the Monitoring Officer any gift or hospitality with an estimated value of at least £25 within 28 days of its receipt.
- 10.3 consider whether to inform the Monitoring Officer of any significant gift or hospitality that has been offered but acceptance was declined.

In order to protect their position and the reputation of the local authority, councillors should exercise caution in accepting any gifts or hospitality which are (or which they reasonably believe to be) offered to them because of their role as councillor. The presumption should always be not to accept significant gifts or hospitality. However, there may be times when such a refusal may be difficult if it is seen as rudeness in which case councillors could accept it but must ensure it is publicly registered. However, councillors do not need to register gifts and hospitality which are not related to their role as a councillor, such as Christmas gifts from their friends and family. It is also important to note that it is appropriate to accept normal expenses and hospitality associated with their duties as a councillor. If councillors are unsure, they should contact the Monitoring Officer for guidance.

# Appendix A - The Seven Principles of Public Life

The principles are:

#### Selflessness

Holders of public office should act solely in terms of the public interest.

# Integrity

Holders of public office must avoid placing themselves under any obligation to people or organisations that might try inappropriately to influence them in their work. They should not act or take decisions in order to gain financial or other material benefits for themselves, their family, or their friends. They must declare and resolve any interests and relationships.

# **Objectivity**

Holders of public office must act and take decisions impartially, fairly and on merit, using the best evidence and without discrimination or bias.

# **Accountability**

Holders of public office are accountable to the public for their decisions and actions and must submit themselves to the scrutiny necessary to ensure this.

#### **Openness**

Holders of public office should act and take decisions in an open and transparent manner. Information should not be withheld from the public unless there are clear and lawful reasons for so doing.

#### Honesty

Holders of public office should be truthful.

#### Leadership

Holders of public office should exhibit these principles in their own behaviour. They should actively promote and robustly support the principles and be willing to challenge poor behaviour wherever it occurs.

# **Appendix B - Registering interests**

Within 28 days of becoming a member or re-election or re-appointment to office councillors must register with the Monitoring Officer the interests which fall within the categories set out in **Table 1 (Disclosable Pecuniary Interests)** which are as described in "The Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012". Councillors should also register details of their other personal interests which fall within the categories set out in **Table 2 (Other Registrable Interests)**.

"Disclosable Pecuniary Interest" means an interest of yourself, or of your partner if you are aware of your partner's interest, which the descriptions set out in Table 1 below.

"Partner" means a spouse or civil partner, or a person with whom you are living as husband and wife, or a person with whom you are living as if you are civil partners.

- 1. Councillors must ensure that their register of interests is kept up-to-date and within 28 days of becoming aware of any new interest, or of any change to a registered interest, notify the Monitoring Officer.
- 2. A 'sensitive interest' is as an interest which, if disclosed, could lead to the councillor, or a person connected with the councillor, being subject to violence or intimidation.
- 3. Where councillors have a 'sensitive interest' they must notify the Monitoring Officer with the reasons why they believe it is a sensitive interest. If the Monitoring Officer agrees they will withhold the interest from the public register.

#### Non-participation in case of disclosable pecuniary interest

- 4. Where a matter arises at a meeting which directly relates to one of their Disclosable Pecuniary Interests as set out in **Table 1**, councillors must disclose the interest, not participate in any discussion or vote on the matter and must not remain in the meeting unless they have been granted a dispensation. If it is a 'sensitive interest', they do not have to disclose the nature of the interest, just that they have an interest. Dispensation may be granted in limited circumstances, to enable councillors to participate and vote on a matter in which they have a disclosable pecuniary interest.
- 5. Where a councillor has a disclosable pecuniary interest on a matter to be considered or is being considered by them as a Cabinet member in the exercise of their executive function, they must notify the Monitoring Officer of the interest and must not take any steps or further steps in the matter apart from arranging for someone else to deal with it.

# **Disclosure of Other Registerable Interests**

6. Where a matter arises at a meeting which *directly relates* the financial interest or wellbeing or one of a councillor's Other Registerable Interests (as set out in **Table 2**), they must disclose the interest. They may speak on the matter only if members of the public are also allowed to speak at the meeting but otherwise must not take part in any discussion or vote on the matter and must not remain in the room unless they have been granted a dispensation. If it is a 'sensitive interest', they do not have to declare the nature of the interest.

# **Disclosure of Non-Registerable Interests**

- 7. Where a matter arises at a meeting which *directly relates* to a councillor's own financial interest or wellbeing (and is not a Disclosable Pecuniary Interest set out in Table 1) or a financial interest or wellbeing of a relative or close associate, the councillor must disclose the interest. They may speak on the matter only if members of the public are also allowed to speak at the meeting. Otherwise they must not take part in any discussion or vote on the matter and must not remain in the room unless they have been granted a dispensation. If it is a 'sensitive interest', they do not have to disclose the nature of the interest.
- 8. Where a matter arises at a meeting which *affects*
  - a. a councillor's own financial interest or wellbeing;
  - b. a financial interest or wellbeing of a councillor's relative or close associate; or
  - c. a financial interest or wellbeing of a body included under Other Registrable Interests as set out in **Table 2**

councillors must disclose the interest. In order to determine whether they can remain in the meeting after disclosing the interest the following test should be applied:

- 9. Where a matter (referred to in paragraph 8 above) *affects* the financial interest or wellbeing:
  - to a greater extent than it affects the financial interests of the majority of inhabitants of the ward affected by the decision; and
  - b. a reasonable member of the public knowing all the facts would believe that it would affect their view of the wider public interest

the councillor concerned may speak on the matter only if members of the public are also allowed to speak at the meeting. Otherwise they must not take part in any discussion or vote on the matter and must not remain in the room unless they have been granted a dispensation.

If it is a 'sensitive interest', the councillor concerned does not have to disclose the nature of the interest.

10. Where a councillor has an Other Registerable Interest or Non-Registerable Interest on a matter to be considered or is being considered by them as a Cabinet member in exercise of their executive function, they must notify the Monitoring Officer of the interest and must not take any steps or further steps in the matter apart from arranging for someone else to deal with it.

# **Table 1: Disclosable Pecuniary Interests**

This table sets out the explanation of Disclosable Pecuniary Interests as set out in the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012.

Subject	Description
Employment, office, trade, profession or vocation	Any employment, office, trade, profession or vocation carried on for profit or gain.
Sponsorship	Any payment or provision of any other financial benefit (other than from the council) made to the councillor during the previous 12-month period for expenses incurred by him/her in carrying out his/her duties as a councillor, or towards his/her election expenses.
	This includes any payment or financial benefit from a trade union within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992.
Contracts	Any contract made between the councillor or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners (or a firm in which such person is a partner, or an unincorporated body in which such person is a director* or a body that such person has a beneficial interest in the securities of*) and the council -
	<ul><li>(a) under which goods or services are to be provided or works are to be executed; and</li><li>(b) which has not been fully discharged.</li></ul>
Land and property	Any beneficial interest in land which is within the area of the council.
	'Land' excludes an easement, servitude, interest or right in or over land which does not give the councillor or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners (alone or jointly with another) a right to occupy or to receive income.
Licences	Any licence (alone or jointly with others) to occupy land in the

	area of the council for a month or longer.
Corporate tenancies	Any tenancy where (to the councillor's knowledge)—
	(a) the landlord is the council; and
	(b) the tenant is a body that the councillor, or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners is a partner of or a director* of or has a beneficial interest in the securities* of.
Securities	Any beneficial interest in securities* of a body where—
	(a) that body (to the councillor's knowledge) has a place of business or land in the area of the council; and
	(b) either—
	<ul><li>(i) the total nominal value of the securities* exceeds £25,000 or one hundredth of the total issued share capital of that body; or</li></ul>
	(ii) if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which the councillor, or his/her spouse or civil partner or the person with whom the councillor is living as if they were spouses/civil partners have a beneficial interest exceeds one hundredth of the total issued share capital of that class.

- \* 'director' includes a member of the committee of management of an industrial and provident society.
- \* 'securities' means shares, debentures, debenture stock, loan stock, bonds, units of a collective investment scheme within the meaning of the Financial Services and Markets Act 2000 and other securities of any description, other than money deposited with a building society.

# **Table 2: Other Registrable Interests**

Councillors must register as an Other Registerable Interest:

- a) any unpaid directorships
- b) any body of which they are a member or are in a position of general control or management and to which they are nominated or appointed by the council
- c) any body
  - (i) exercising functions of a public nature
  - (ii) directed to charitable purposes or
  - (iii) one of whose principal purposes includes the influence of public opinion or policy (including any political party or trade union) of which they are a member or in a position of general control or management.